

Spouse/Civil Partner ISA Allowance Transfer Form



Ulster Bank

We want to help you as quickly and efficiently as possible at this difficult time so before completing this form, please ensure that you have an existing cash Individual Savings Account (an ISA) in your name with Ulster Bank.

This form is for requesting your spouse's/civil partner's cash or stocks and shares ISA allowances to be transferred to your cash ISA.

To open an ISA with Ulster Bank you can:

- Apply online at ulsterbank.co.uk/isa
- Call us on 0800 046 6486
Lines are open: Monday and Friday 8.30am to 7.30pm, Tuesday, Wednesday and Thursday 8.30am to 9.00pm, except bank holidays.
Calls may be recorded.
- Visit your local Ulster Bank branch.

Please ensure this form is completed, signed and then posted to:

Ulster Bank, Central Tax Unit, Danesfort, Stranmillis Road, Belfast BT9 5UB.

How we will use your information

Before continuing with this application, please read the information below which explains how we and others will use your personal and financial information during this application process.

When we use and share personal and financial information, we do so on the basis that we have a legitimate interest to prevent fraud and money laundering, to manage our risk and to protect our business and to comply with laws that apply to us (including verifying your identity and assessing the suitability of our products).

For details of how we and others will use your information, please see our full Privacy Notice at www.ulsterbank.co.uk/privacy.

Who we are

The organisation responsible for processing your personal and financial information is Ulster Bank, a member of NatWest Group.

Please complete this form in BLOCK CAPITALS and in black ink, mark the box with a cross where applicable and delete as appropriate. Please do not write on or mark this form outside the boxes and lines provided as this could cause our electronic readers to misread your instructions.

1. Your situation

Please note: You can request that your Additional Permitted Subscription (APS) is transferred between ISA providers only once. For example, if you request your APS is transferred from your spouse's/civil partner's ISA with another ISA provider to your Ulster Bank ISA then HMRC regulations state that you are not allowed to later move your APS from Ulster Bank to another ISA provider. We will provide more information to you about the APS regulations in writing when we confirm your APS entitlement.

Please mark one appropriate box below:

I have an Ulster Bank cash ISA and would like to request the Additional Permitted Subscription from my spouse's/civil Partner's Ulster Bank ISA(s)

I have an Ulster Bank cash ISA and would like to request the Additional Permitted Subscription from my spouse's/civil partner's ISA(s) with another provider

I have an Ulster Bank cash ISA and would like to request the Additional Permitted Subscription from my spouse's/civil partner's ISAs with both Ulster Bank and other providers

Please note: You need to have an Ulster Bank cash ISA in your name for us to apply the additional subscription. If you would like to request your additional permitted allowance (APS) is transferred out to another ISA provider, please contact your chosen provider directly instead of using this form.

2. Your spouse's/civil partner's details

Ulster Bank ISA
Account Number

Sort Code

To provide details of additional ISAs/ISAs with other providers please refer to **section 7. Additional Information.**

Title

Mr Mrs Miss Ms Other

(please specify)

First name

Middle name(s)

Surname

Permanent residential address at date of death

Address line 1

Address line 2

Address line 3

Address line 4 OR
overseas country

Postcode

(Please note C/O and PO Box addresses are not allowed.)

Date of birth
(DD/MM/YYYY)

Date of death
(DD/MM/YYYY)

National Insurance number

My spouse/civil partner did not have a National Insurance number

If you do not know your spouse's/civil partner's number you may locate this on a payslip, form P60 or P45,
a letter from HM Revenue and Customs/DSS or front cover of their pension book.

Date of marriage or civil partnership between you and your spouse/civil partner

3. Your details

Title

Mr Mrs Miss Ms Other

(please specify)

First name

Middle name(s)

Surname

Address is the same as spouse/civil partner provided above

or Address line 1

Address line 2

Address line 3

Address line 4 OR
overseas country

Postcode

(Please note C/O and PO Box addresses are not allowed.)

National Insurance number

Date of birth
(DD/MMM/YYYY)

Email address

Please note: Once we receive your request we'll check the details provided, then contact you to confirm the allowance amount you are entitled to. We will include further information on how to make deposits to your account. Please do not pay funds directly into your ISA at this stage as they will count as your personal allowance rather than as part of your Additional Permitted Subscription (spouse/civil partner allowance).

4. How we will use your information

(a) Credit reference and fraud prevention agencies

We may request information about you from credit reference agencies to help verify your identity to comply with laws that apply to us. This request will not affect your ability to obtain credit (for example for a loan or credit card) in the future.

Application decisions may be taken based on solely automated checks of information from credit reference agencies and internal NatWest Group records. You have rights in relation to automated decision making. If you want to know more please see our full privacy notice at www.ulsterbank.co.uk/privacy or contact us at Ulster Bank- 03457 424 365, Overseas - +44 289 053 8033, Minicom – 02890 683 448.

In order to prevent and detect fraud and/or money laundering, the information provided in this application may be checked with fraud prevention agencies. If fraud is identified or suspected details may be recorded with these agencies to prevent fraud and money laundering.

If we, or a fraud prevention agency, determine that you pose a fraud or money laundering risk, we may refuse to provide the services and financing to you.

When credit reference and fraud prevention agencies process your information, they do so on the basis that they have a legitimate interest in preventing fraud and money laundering, to protect their business and to comply with laws that apply to them

(b) With other NatWest Group companies

We and other NatWest Group companies worldwide will use the information you supply in this application (and any information we or other NatWest Group companies may already hold about you) in connection with processing your application and assess your suitability for our products.

If your application is declined we will normally keep your information for up to 5 years, but we may keep it for longer required by us or other NatWest Group companies in order to comply with legal and regulatory requirements.

We and other NatWest Group companies may use your information in order to improve the relevance of our products and marketing.

(c) With other third Parties

The information provided in this application may be used for compliance with legal and regulatory screening requirements, including confirming your eligibility to hold a UK bank account and sanctions screening.

We may be required to disclose certain information to regulators, government bodies and similar organisations around the world, including the name, address, tax number, account number(s), total gross amount of interest paid or credited to the account and the balance or value of the account(s) of our customers to the HM Revenue and Customs ("HMRC"). HMRC may exchange this information with other countries' tax authorities.

5. Confirming your agreement

By signing this application you confirm that you have read and understood how we may use your information to request information from your spouse's/civil partner's ISA provider. This may include sharing your information with your spouse's/civil partner's ISA provider. Full Privacy Notice will set out more detail about how we use your information.

6. Declaration and signature

This section must be completed to confirm that you are eligible to transfer an additional permitted allowance to an ISA in respect of your spouse/civil partner named on this application.

I declare that:

1. I am the surviving spouse/civil partner of the deceased.
2. I was living with the deceased within the meaning of Section 1011 of the Income Tax Act 2007 at the date of the deceased's death (we were not separated under a court order, under a deed of separation, or in circumstances where the marriage or civil partnership had broken down).
3. I intend to make an additional permitted subscription application to Ulster Bank.

I authorise the existing ISA provider of my spouse/civil partner as specified above to provide Ulster Bank with any information, written or non-written, concerning the APS allowance and former ISA in respect of myself (the investor) and the deceased and to accept any instruction from them relating to the APS allowance being transferred.

I declare that this APS transfer application form has been completed to the best of my knowledge and belief.

Customer signature

Date (DD/MM/YYYY) _____

7. Additional Information

Please use this page to provide details of any other **Ulster Bank ISAs** your spouse/civil partner held.

Account Number	<input type="text"/>	Sort Code	<input type="text"/>
Account Number	<input type="text"/>	Sort Code	<input type="text"/>
Account Number	<input type="text"/>	Sort Code	<input type="text"/>
Account Number	<input type="text"/>	Sort Code	<input type="text"/>
Account Number	<input type="text"/>	Sort Code	<input type="text"/>

One declaration must be signed per ISA provider so we have provided two additional provider pages for you. If you require an additional form to detail other providers:

- Visit your local Ulster Bank branch.

External ISA provider details

Please use this page to provide details of ISAs your spouse/civil partner held with **another ISA provider**. One declaration must be signed per ISA provider, you can detail any ISAs with an additional ISA provider on the following page.

ISA Provider Name			
ISA Provider Address			
Account/Policy Number		Sort Code	
Account/Policy Number		Sort Code	
Account/Policy Number		Sort Code	
Account/Policy Number		Sort Code	
Account/Policy Number		Sort Code	

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3. I have not subscribed to and will not subscribe to the additional permitted subscription allowance with the existing ISA provider of the deceased in respect of the deceased named on this application.
4. I intend to make an additional permitted subscription application to Ulster Bank.

I authorise the existing ISA provider of my spouse/civil partner as specified above to provide Ulster Bank with any information, written or non-written, concerning the APS allowance and former ISA in respect of myself (the investor) and the deceased and to accept any instruction from them relating to the APS allowance being transferred.

I declare that this APS transfer application form has been completed to the best of my knowledge and belief.

Customer signature

Date (DD/MM/YYYY) _____

Transfer Acceptance

We Ulster Bank are willing to accept this APS allowance transfer in line with the investors instructions above. We confirm that, subject to relevant checks, we are willing to accept an additional permitted subscription application from the investor.

Signed,
Ulster Bank ISA Team

External ISA provider details

Please use this page to provide details of ISAs your spouse/civil partner held with **another ISA provider**.

ISA Provider Name			
ISA Provider Address			
Account/Policy Number		Sort Code	
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3. I have not subscribed to and will not subscribe to the additional permitted subscription allowance with the existing ISA provider of the deceased in respect of the deceased named on this application.
4. I intend to make an additional permitted subscription application to Ulster Bank.

I authorise the existing ISA provider of my spouse/civil partner as specified above to provide Ulster Bank with any information, written or non-written, concerning the APS allowance and former ISA in respect of myself (the investor) and the deceased and to accept any instruction from them relating to the APS allowance being transferred.

I declare that this APS transfer application form has been completed to the best of my knowledge and belief.

Customer signature

Date (DD/MM/YYYY) _____

Transfer Acceptance

We Ulster Bank are willing to accept this APS allowance transfer in line with the investors instructions above. We confirm that, subject to relevant checks, we are willing to accept an additional permitted subscription application from the investor.

Signed,
Ulster Bank ISA Team

Please ensure that your signature is inside the box as it will be stored electronically and may be used for verification purposes.

If you are signing this application under a Power of Attorney or other Authority for a customer who is incapacitated, please indicate the nature of the incapacity:

- Mental incapacity – a copy of the Enduring or Lasting Power of Attorney documentation, which has been registered at the Court of Protection, must be provided (or, in Scotland, please provide a copy of the Continuing Power of Attorney, or the court order granted under the Adults with Incapacity (Scotland) Act 2000, or other authorising documentation, together with any certificate of registration of such documents with the Office of the Public Guardian (as appropriate)).
- Physical incapacity – a copy of the General or Enduring Power of Attorney documentation, or Lasting Power of Attorney documentation, which has been registered at the Court of Protection, must be provided (or, in Scotland, please provide a copy of the Continuing Power of Attorney, or the court order granted under the Adults with Incapacity (Scotland) Act 2000, or other authorising documentation, together with any certificate of registration of such documents with the Office of the Public Guardian (as appropriate)).

Ulster Bank, a business name of National Westminster Bank Plc ("NatWest"), registered in England and Wales (Registered Number 929027). Registered Office: 250 Bishopsgate, London, EC2M 4AA.
Authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority, and entered on the Financial Services Register (Registration Number 121878) except in respect of our consumer credit products for which Ulster Bank is licensed and regulated by the Office of Fair Trading. Calls may be recorded.